

# Characterization and identification of specific EGFR mutations in circulating tumor cells (CTCs) isolated from non-small cell lung cancer patients using an antibody independent method, ApoStream™

H. T. Tran<sup>1</sup>, V. O. Melnikova<sup>2</sup>, A. S. Tsao<sup>1</sup>, F. V. Fossella<sup>1</sup>, F. M. Johnson<sup>1</sup>, V. Papadimitrakopoulou<sup>1</sup>, M. Garza<sup>2</sup>, C. Neal<sup>2</sup>, D. Hasegawa<sup>2</sup>, A. Kruempel<sup>1</sup>, G. Wu<sup>1</sup>, K. Richardson<sup>3</sup>, M. E. Lewis<sup>3</sup>, B. L. Legendre Jr<sup>3</sup>, K. L. Anderes<sup>2</sup>, D. W. Davis<sup>2</sup>, J. Heymach<sup>1</sup>

<sup>1</sup>MD Anderson Cancer Center, Houston, TX; Department of Thoracic, Head & Neck Medical Oncology; <sup>2</sup>ApoCell, Inc., Houston, TX; <sup>3</sup>Transgenomic, Inc.,

## Abstract

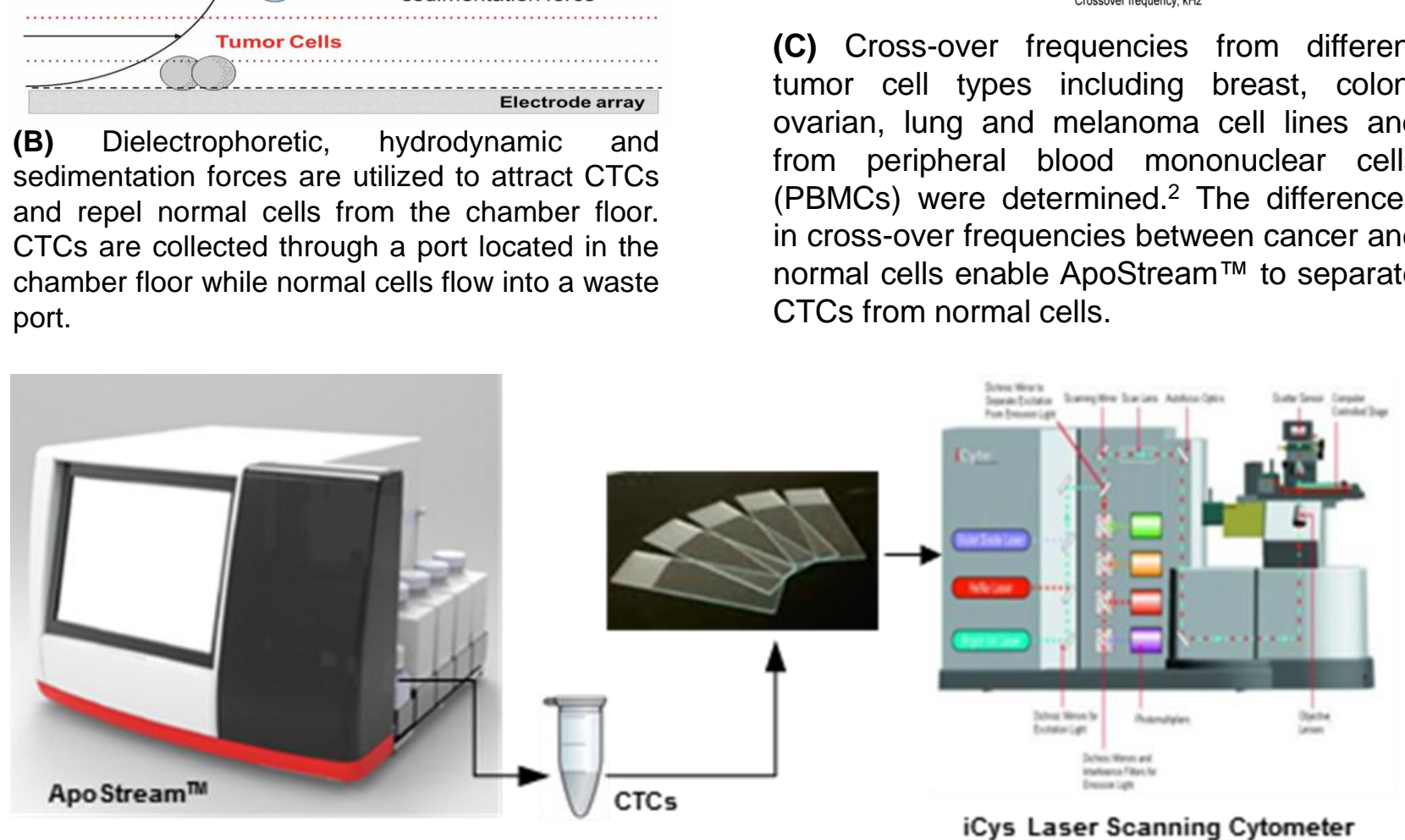
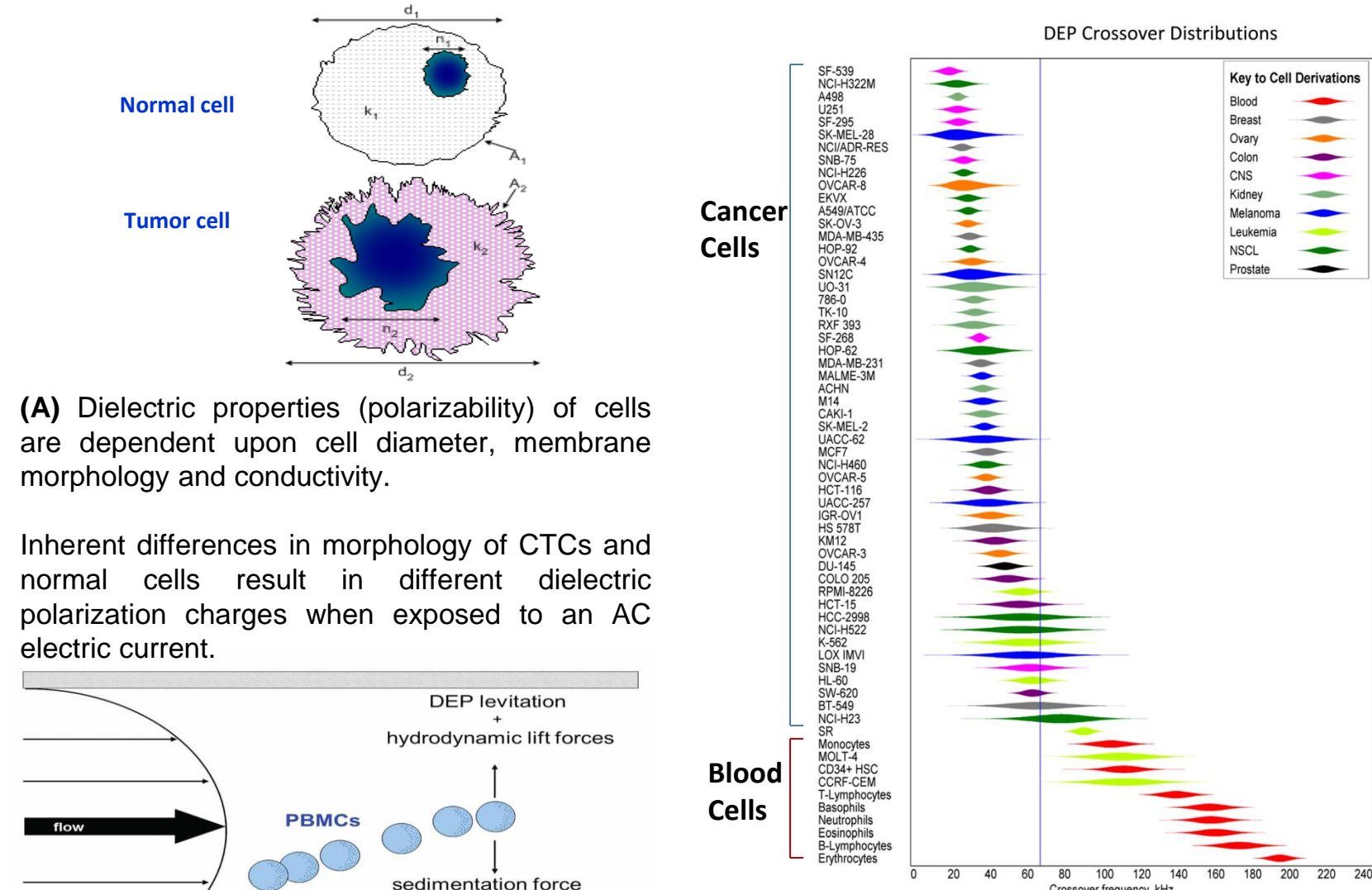
**Background:** A variety of methods for capture of rare CTCs of epithelial origin are available; most employ antibodies to epithelial cell adhesion molecule (EpCAM) and cytokeratin (CK). Using a classic phenotypic definition, a CTC is a nucleated, CK(+), CD45(-) cell. However, some CTCs may elude capture as they originate from primary tumor cells that have undergone epithelial-mesenchymal transition (EMT). We report here the use of ApoStream™, a novel dielectrophoresis field-flow-assisted, antibody-free method to isolate CTCs from blood.

**Methods:** Blood was collected from consented NSCLC patients and processed using ApoStream™. For CTC enumeration comparison, the CellSearch® FDA-approved kit was used. Isolated cells were evaluated with a multiplexed immunofluorescent assay and laser scanning cytometry was applied to identify multiple combinations of positive and/or negative staining for CK/CD45/DAPI and EpCAM. To determine specific EGFR mutations from captured CTCs, samples were analyzed using Improved and Complete Enrichment with CO-amplification at Lower Denaturation temperature (ICE COLD-PCR).

**Results:** Blood samples from 32 NSCLC patients and 3 healthy volunteers were processed. ApoStream™ isolated 0 to 65 CK(+)/CD45(-) CTCs(n=32) and CellSearch® isolated 0 to 13 EpCAM(+)/CK(+)/CD45(-) CTCs(n=7). Additionally, ApoStream™ recovered 37-3536 CK(-)/CD45(-) and 4-10702 CK(+)/CD45(+) cells. EpCAM expression was detected in 7-100% of CK(+)/CD45(-) and 0-5% of CK(-)/CD45(-) cells, and 18-100% of CK(+)/CD45(+) cells. EGFR mutations [exon 19 deletions and exon 21 L858R] were determined and found to be concordant when compared to tumor tissue analysis by Sanger sequencing.

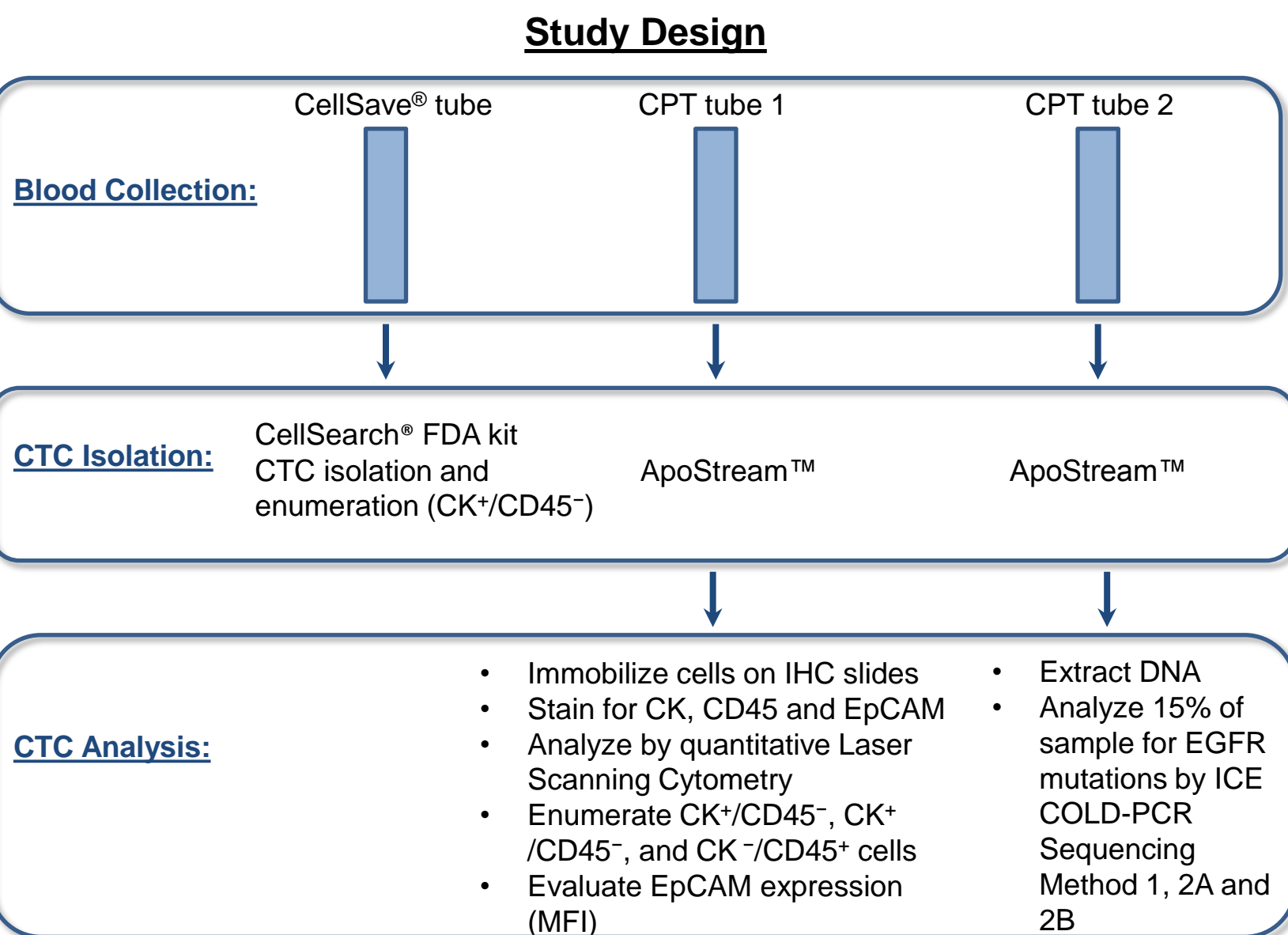
**Conclusions:** The ApoStream™ platform enriched EpCAM(+) and EpCAM(-) CTCs from the blood of NSCLC patients demonstrating utility in recovering cancer cells with multiple phenotypes. From recovered CTCs, detection of EGFR mutations was possible indicating the clinical relevance and potential utility of CTCs as an alternative to tissue biopsy. Complete mutation analysis will be presented.

## ApoStream™ Technology

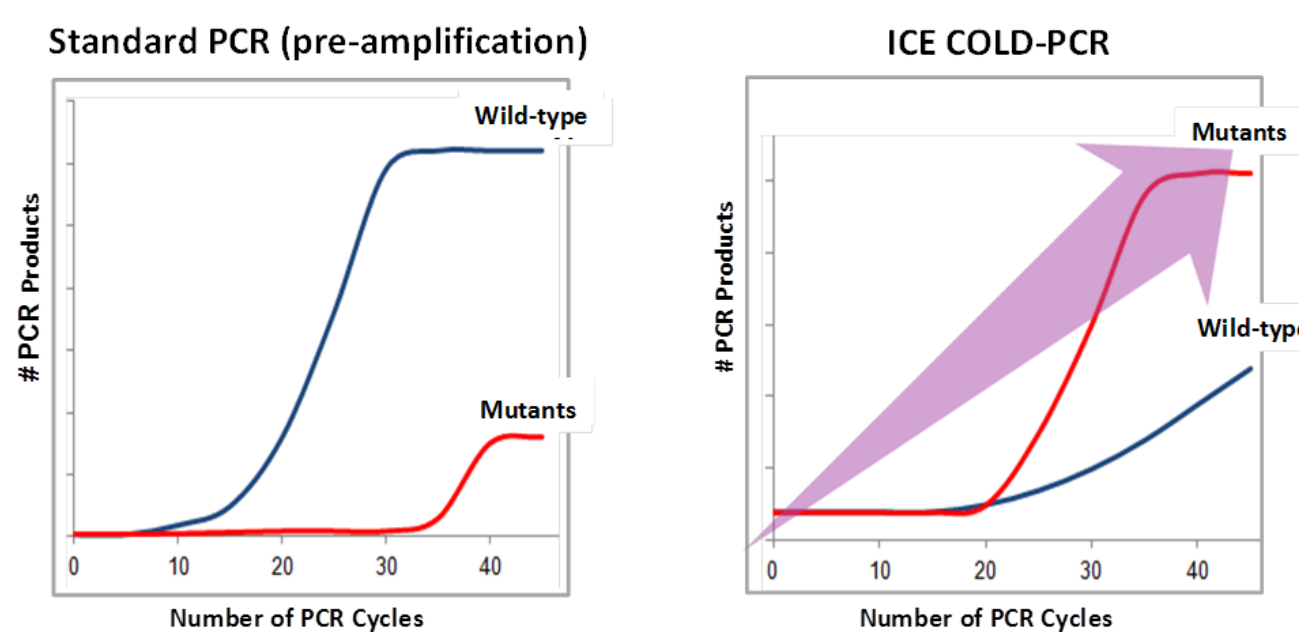
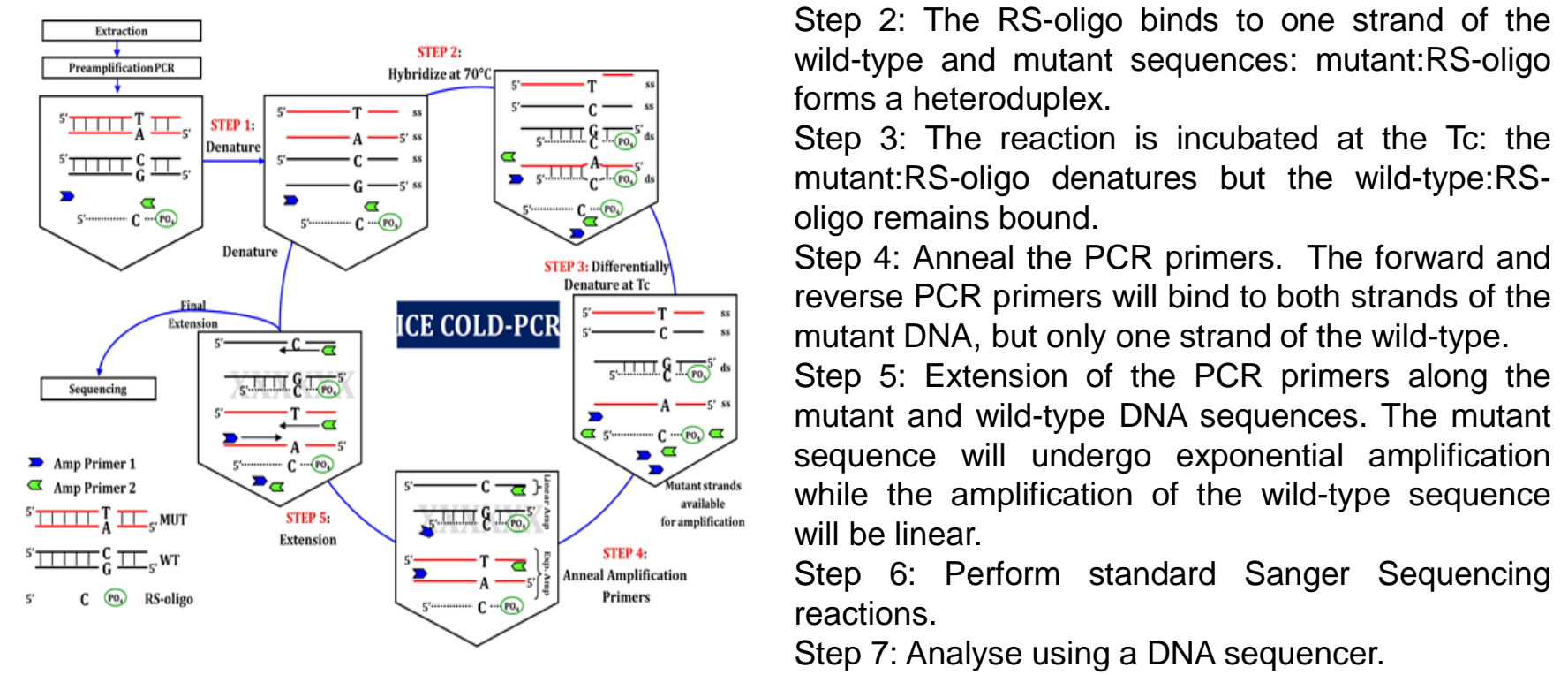


## Methods

All patients and healthy volunteers provided signed, written informed consent for this laboratory-based research study approved by UTMDACC IRB (LAB11-0490).



## ICE COLD-PCR Sequencing



## Results

Sample ID	ApoStream™ CTC Count			UTMDACC EGFR Mutation Tissue Pathology Report	Transgenomic* EGFR Mutation Results, All Methods	Transgenomic® EGFR Mutation Results			
	CK <sup>+</sup> /CD45 <sup>-</sup> Cells (% EpCAM)	CK <sup>-</sup> /CD45 <sup>-</sup> Cells (% EpCAM)	CK <sup>+</sup> /CD45 <sup>+</sup> Cells (% EpCAM)			EGFR Exon 19			EGFR Exon 21
						Method 1	Method 2A	Method 2B	Method 1
MDACC-013	10 (0)	479 (1)	4 (25)	Exon 19, 15 bp deletion	NVD* - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-014	2 (0)	609 (0)	26 (85)	Exon 19, deletion	Exon 19 deletion	NVD	delATCTCCGAAAGCC AACAGGAAATC; p.P753fs, 100%**	delATCTCCGAAAGCC AACAGGAAATC; p.P753fs, 100%**	NVD
MDACC-015	0 (0)	1109 (0.1)	1 (100)	Exon 19, 18 bp insertion	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-018	8 (0)	289 (0)	11 (73)	Exon 19, 15 bp deletion	Exon 19 deletion	NVD	delATCTCCGAAAGCC AACAGGAAATC; p.S752-1759del, 100%	delATCTCCGAAAGCC AACAGGAAATC; p.S752-1759del, 50%	NVD
MDACC-019	9 (100)	962 (7)	132 (61)	Exon 19, deletion	Exon 19 deletion	NVD	delATCTCCGAAAGCC AACAGGAAATC; p.S752-1759del, 40%	delATCTCCGAAAGCC AACAGGAAATC; p.S752-1759del, 100%	NVD
MDACC-020	18 (6)	330 (0)	56 (23)	Exon 19, 18 bp deletion	NVD - Exon 19/21	NVD	NVD	NVD	NVD
MDACC-022	0 (0)	51 (0)	590 (93)	Exon 19, 15 bp deletion	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-023	0 (0)	1139 (0.1)	10702 (90)	Exon 19, L747P	NVD - Exon 19/21	NVD	NVD	NVD	NVD
MDACC-024	1 (100)	24 (0)	6 (67)	Exon 19, 15 bp deletion	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-028	3 (NA**)	54 (NA)	619 (NA)	Exon 19, insertion/deletion	NVD - Exon 19/21	NVD	NVD	NVD	NVD
MDACC-030	7 (NA)	231 (NA)	55 (NA)	Exon 19, 15 bp deletion	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-033	0 (NA)	335 (NA)	5 (NA)	Exon 19, deletion	Exon 19 deletion/insertion	NVD	NVD	delGAGAAAGCAACATC TCCGAAinsACATCTCC CG p.R748-K754delinsNISE, 40%	NVD
MDACC-034	0 (NA)	372 (NA)	41 (NA)	Exon 19, 15 bp deletion	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-037B	NA	NA	NA	Exon 19, 15 bp deletion	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-038	5 (60)	397 (5)	117 (78)	Exon 19, 15 bp deletion	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-007B	20 (35)	1968 (0.2)	118 (33)	Exon 20, 9 bp insertion	NVD - Exon 19/21	NVD	NVD	NVD	NVD
MDACC-012	6 (17)	1037 (0.5)	11 (64)	Exon 18, codon 719 (GGC to TGC, G719C)	NVD - Exon 19/21	NVD	NVD	NVD	NVD
MDACC-012B	65 (95)	92 (0)	115 (83)	Exon 18, codon 719 (GGC to TGC, G719C)	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-016	4 (50)	100 (1)	64 (28)	Exon 20	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-017	3 (33)	321	6 (83)	no known mutations	NVD - Exon 19/21	NVD	NVD	NVD	NVD
MDACC-021	18 (89)	145 (5)	201 (89)	EGFR Exon 18, R770K, KRAS, G12D	NVD - Exon 19/21	NVD	NVD	NVD	NVD
MDACC-029	1 (NA)	37 (NA)	347 (NA)	Exon 20, 6 bp insertion	NVD - Exon 19/21	NVD	NVD	NVD	NVD
MDACC-032	1 (NA)	263 (NA)	33 (NA)	Exon 20, 9 bp insertion	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-035	0 (0)	442 (1.6)	27 (44)	no known EGFR mutations; KRAS, codon 12	NVD - Exon 19/21	NVD	NVD	FAIL	NVD
MDACC-036	12 (8)	2125 (0.1)	33 (36)	no known mutations	NVD - Exon 19/21	NVD	NVD	FAIL	NVD

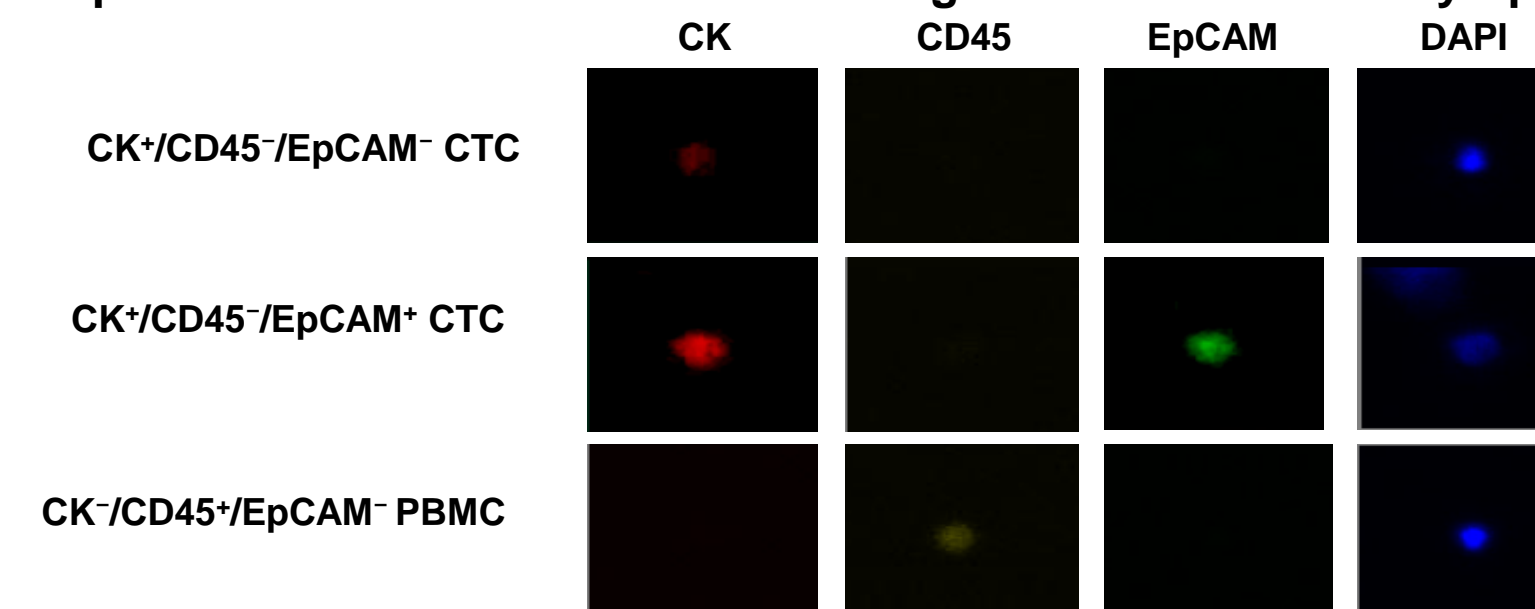
**UTMDACC EGFR Mutation Tissue Pathology Analysis:** PCR-based EGFR exon 18 to 21 DNA sequencing analysis was performed on DNA extracted from paraffin-embedded tumor tissue blocks. The lower limit of sensitivity of detection is approximately one mutated cell per five total cells in sample (20%).

**Method 1:** Standard ICE COLD-PCR was performed using 15% of CTC DNA specimen.

**Method 2A, B:** Modified ICE COLD-PCR was performed using 15% of CTC DNA specimen. (A) Modified pre-amplification PCR combined with a hemi-nested PCR followed by sequencing. (B) Modified pre-amplification PCR combined with the standard ICE COLD-PCR.

\* NVD, No Variant Detected, \*\* % mutant allele, \*\*\* NA, no data collected

## Representative Immunofluorescent Images of Cells Isolated by ApoStream™



## Results

### Summary of CTC Enumeration and Mutation Analysis Results

Side-by-Side CellSearch® and ApoStream™ Analysis	
Total number of NSCLC samples analyzed successfully by both methods	7
Number of samples with CK <sup>+</sup> /CD45 <sup>-</sup> cells detected by CellSearch®	4 out of 7
Median number (range) of CK <sup>+</sup> /CD45 <sup>-</sup> cells detected by CellSearch®	1 (0 to 13)
Number of samples with CK <sup>+</sup> /CD45 <sup>-</sup> cells detected by ApoStream™	4 out of 7
Median number (range) of CK <sup>+</sup> /CD45 <sup>-</sup> cells detected by ApoStream™	6 (0 to 29)
ApoStream™ CTC/Putative CTC Yields per 7.5 mL of Blood	
NSCLC	
Total number of NSCLC samples analyzed successfully	30
Number of NSCLC samples with CK <sup>+</sup> /CD45 <sup>-</sup> cells detected	21
Median number (range) of CK <sup>+</sup> /CD45 <sup>-</sup> cells detected in NSCLC samples	3 (0 to 65)
Number of NSCLC samples with CK <sup>+</sup> /CD45 <sup>-</sup> cells detected	30
Median number (range) of CK <sup>+</sup> /CD45 <sup>-</sup> cells detected in NSCLC samples	354 (24 to 3536)
Number of samples with CK <sup>+</sup> /CD45 <sup>-</sup> cells detected in NSCLC samples	30
Median number (range) of CK <sup>+</sup> /CD45 <sup>-</sup> cells detected in NSCLC samples	46 (1 to 10702)
Healthy Donor Blood	
Total number of healthy donor blood samples analyzed successfully	3
Number of healthy donor samples with CK <sup>+</sup> /CD45 <sup>-</sup> cells detected	1
Median number (range) of CK <sup>+</sup> /CD45 <sup>-</sup> cells detected in healthy blood	0 (0 to 4)
Number of healthy donor samples with CK <sup>+</sup> /CD45 <sup>-</sup> cells detected	3
Median number (range) of CK <sup>+</sup> /CD45 <sup>-</sup> cells detected in healthy blood	107 (28 to 457)
Number of healthy donor samples with CK <sup>+</sup> /CD45 <sup>-</sup> cells detected	3
Median number (range) of CK <sup>+</sup> /CD45 <sup>-</sup> cells detected in healthy blood	15 (7 to 26)
EGFR Exon 19 ICE-COLD PCR	
Total number of samples analyzed	25
Total number of EGFR Exon 19 deletion tissue positive cases analyzed for CTC mutations	15
Number of cases with EGFR Exon 19 deletion in tissue successfully analyzed by CTC Method 1	15
Number of specimens with EGFR Exon 19 CTC mutations detected by Method 1	0 out of 15 (0%)
Number of cases with EGFR Exon 19 deletion in tissue successfully analyzed by CTC Method 2A	15
Number of specimens with EGFR Exon 19 CTC mutations detected by Method 2A	3 out of 15 (27%)
Number of cases with EGFR Exon 19 deletion in tissue successfully analyzed by CTC Method 2B	8
Number of specimens with EGFR Exon 19 CTC mutations detected by Method 2B	4 out of 8 (50%)

## Summary & Clinical Significance

- A novel, antibody-independent platform ApoStream™ successfully isolated CTCs from the blood of patients with advanced NSCLC. In a side-by-side comparison, ApoStream™ isolated more CK<sup>+</sup>/CD45<sup>-</sup> NSCLC CTCs compared to the CellSearch® platform in 3 out of 6 NSCLC patient samples with detectable CK<sup>+</sup>/CD45<sup>-</sup> cells; neither system detected CTCs in 1 patient sample.
- Phenotypic immunofluorescent analysis of cells isolated by ApoStream™ revealed the presence of CK<sup>+</sup>/CD45<sup>-</sup> CTCs as well as CK<sup>-</sup>/CD45<sup>-</sup> and CK<sup>+</sup>/CD45<sup>+</sup> cells. Median of 3 CK<sup>+</sup>/CD45<sup>-</sup> CTCs was detected in NSCLC samples as compared to 0 in healthy donor blood. Additional samples are being recruited to establish CTC enumeration cut-offs.
- Percent cells expressing EpCAM varied from 0 to 100% in CK<sup>+</sup>/CD45<sup>-</sup> cells, from 0 to 7% in CK<sup>-</sup>/CD45<sup>-</sup> cells, and from 23 to 93% in CK<sup>+</sup>/CD45<sup>+</sup> cells, thus confirming that ApoStream™ isolates EpCAM<sup>-</sup> cells that would be undetected by EpCAM-based technologies.
- The use of ICE COLD-PCR coupled with standard Sanger sequencing allowed detection of EGFR Exon 19 mutations in CTCs isolated by ApoStream™. Method modifications led to increases in the sensitivity of detecting EGFR Exon 19 mutations in CTCs from tissue-positive patients from 0% with standard ICE COLD-PCR (Method 1) to 27% and 50% with Methods 2A and 2B respectively. Note that only a portion of the extracted DNA was used per pre-amplification PCR, therefore, the entire template population of the sample was not tested with each assay; this could have led to some discrepant results.
- For EGFR Exon 21, no mutations were observed in the tumor tissue from this set of patients. Using standard ICE COLD-PCR followed by Sanger sequencing on the template DNA extracted from the CTCs isolated by ApoStream, no mutations were found, thus the results from the ICE COLD-PCR analysis were 100% concordant with the tumor samples with no false positives observed. Development of a modified ICE COLD-PCR approach (Method 2) for EGFR Exon 21 CTC mutation analysis is ongoing.
- In summary, successful isolation of NSCLC CTCs and detection of EGFR mutations by an integrated ApoStream™ - ICE COLD-PCR approach enables exploration of the clinical utility of CTCs as an alternative to tissue biopsy.

**References:**

<sup>1</sup>Vishal Gupta, et al. ApoStream™, a new dielectrophoretic device for antibody independent isolation and recovery of viable cancer cells from blood. *Biomicrofluidics* 6, 024133 (2012).

<sup>2</sup>Sangjo Shim et al. Dielectrophoresis has broad applicability to marker-free isolation of tumor cells from blood by microfluidic systems. *Biomicrofluidics*, 7, 011808, 2013.